

01-23-02

11/07/01

1054 U.S. P.

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new non-provisional applications under 37 CFR 1.53(b)

Attorney Docket No. 09792909-5258

First Named Inventor or Application Identifier

Hiroshi Inoue

Express Mail Label No: EL834556620US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 34
2. ☒ Drawing(s) (35USC 113) Total Pages 2
3. ☒ Declaration and Power of Attorney Total Pages 2
- a. ☐ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
- [Note Box 4 Below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Applicant is a Small Business Entity
12. ☐

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$740.00
	TOTAL CLAIMS	12		\$18.00	0.00
	INDEPENDENT CLAIMS	2		84.00	0.00
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		280.00	0.00
				TOTAL FEE	740.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$740.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606
Telephone 312/876-8000
Customer ID #26263

SIGNATURE

Christopher P. Rauch
Christopher P. Rauch (Reg. No. 45.034)

Date: November 7, 2001

U-11

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL834556620US

Date of Deposit: November 7, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Asst. Commissioner for Patents, Washington, D.C. 20231.

Applicant(s): Hiroshi Inoue, Shinichiro Yamada and Takuya Endo

Attorney Docket No. 09792909-5258

Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Utility Patent Application Transmittal Form (and one copy)

Specification (34 pages)

Drawings (2 sheets - Figs. 1-2)

\$740.00 filing fee (12 claims - 2 independent)

Return postcard



Signature of Person Mailing Application and Fees

EL834556620US

Sonnenschein
SONNENSCHN NATH & ROSENTHAL
Christopher P. Rauch
312/876-2606

8000 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
312.876.8000
312.876.7934 fax
www.sonnenschein.com
Chicago
Kansas City
Los Angeles
New York
San Francisco
St. Louis
Washington, D.C.
West Palm Beach

November 7, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"NEGATIVE ELECTRODE ACTIVE MATERIAL AND NONAQUEOUS
ELECTROLYTE BATTERY"
Applicant(s) Hiroshi Inoue, et al.
Attorney Docket No. 09792909-5258

Dear Sir:

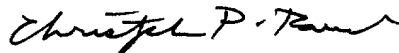
Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 12 claims (2 independent), 2 sheets of drawings (Figs. 1-2) and the filing fee of
\$740.00 on behalf of

HIROSHI INOUE, SHINICHIRO YAMADA and TAKUYA ENDO

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL



By:

Christopher P. Rauch

Enclosures